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VIA CERTIFIED MAIL
RETURN RECEIPT REQUESTED

May 23, 2016

Pablo Diaz, Plant Manager
Mark Valadez, Regional EHS Manager
Masonite Corporation
433 W. Scotts Ave.
Stockton, CA 95203

Frederick J. Lynch, President and CEO
Masonite
One Tampa City Center
201 North Franklin Street
Suite 300
Tampa, Florida 33602

VIA FIRST CLASS MAIL

Corporate Creations Network, Inc.,
Registered Agent for Masonite Corporation
(Entity Number C0150300)
1430 Truxtun Ave., 5th Floor
Bakersfield, CA 93301

**Re: Notice of Violations and Intent to File Suit under the Federal Water
Pollution Control Act**

Dear Messrs. Diaz, Valadez, and Lynch:

I am writing on behalf of California Sportfishing Protection Alliance ("CSPA") in regard to violations of the Clean Water Act (the "Act") that CSPA believes are occurring at Masonite Corporation's industrial facility located at 433 W. Scotts Avenue in Stockton, California ("Facility"). CSPA is a non-profit public benefit corporation dedicated to the preservation, protection, and defense of the environment, wildlife, and natural resources of the San Joaquin

Notice of Violations and Intent to File Suit

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River, the Sacramento-San Joaquin Delta and other California waters. This letter is being sent to Masonite Corporation, Pablo Diaz, Mark Valadez, and Frederick Lynch as the responsible owners or operators of the Facility (all recipients are hereinafter collectively referred to as "Masonite").

This letter addresses Masonite's unlawful discharge of pollutants from the Facility into channels that flow into the San Joaquin River and the Sacramento-San Joaquin River Delta. The Facility is discharging storm water pursuant to National Pollutant Discharge Elimination System ("NPDES") Permit No. CA S000001, State Water Resources Control Board ("State Board") Order No. 97-03-DWQ ("1997 Permit") as renewed by Order No. 2015-0057-DWQ ("2015 Permit"). The 1997 Permit was in effect between 1997 and June 30, 2015, and the 2015 Permit went into effect on July 1, 2015. As explained below, the 2015 Permit maintains or makes more stringent the same requirements as the 1997 Permit. As appropriate, CSPA refers to the 1997 and 2015 Permits in this letter collectively as the "General Permit." The WDID identification number for the Facility listed on documents submitted to the California Regional Water Quality Control Board, Central Valley Region ("Regional Board") is 5S39I013771. The Facility is engaged in ongoing violations of the substantive and procedural requirements of the General Permit.

Section 505(b) of the Clean Water Act requires a citizen to give notice of intent to file suit sixty (60) days prior to the initiation of a civil action under Section 505(a) of the Act (33 U.S.C. § 1365(a)). Notice must be given to the alleged violator, the U.S. Environmental Protection Agency ("EPA") and the State in which the violations occur.

As required by the Clean Water Act, this Notice of Violations and Intent to File Suit provides notice of the violations that have occurred, and continue to occur, at the Facility. Consequently, CSPA hereby places Masonite on formal notice that, after the expiration of sixty days from the date of this Notice of Violations and Intent to Sue, CSPA intends to file suit in federal court against Masonite under Section 505(a) of the Clean Water Act (33 U.S.C. § 1365(a)), for violations of the Clean Water Act and the General Permit. These violations are described more extensively below.

I. Background.

In its Notice of Intent to Comply with the Terms of the General Permit ("NOI"), Masonite certifies that the Facility is classified under SIC code 2431. The Facility collects and discharges storm water from its 350,399 square-foot industrial site through at least four outfalls. On information and belief, CSPA alleges the outfall contains storm water that is commingled with runoff from the Facility from the reported 163,093 square-feet of where industrial processes occur. The outfall discharges to channels that flow into the City of Stockton's Municipal Separate Storm Sewer System ("MS4"), which discharges to Mormon Slough, which flows into the Stockton Deep Water Ship Channel ("DWSC") and then into the San Joaquin River, all of which also comprise portions of the Sacramento-San Joaquin Delta ("Delta").

The Regional Board has identified beneficial uses of the Central Valley Region's waters and established water quality standards for the San Joaquin River and its tributaries and the Delta in "The Water Quality Control Plan (Basin Plan) for the California Regional Water Quality Control Board, Central Valley Region – The Sacramento River Basin and The San Joaquin River Basin," generally referred to as the Basin Plan and the "Water Quality Control Plan for the San Francisco Bay/Sacramento-San Joaquin Delta Estuary." *See* http://www.waterboards.ca.gov/centralvalley/water_issues/basin_plans/sacsjr.pdf; http://www.waterboards.ca.gov/waterrights/water_issues/programs/bay_delta/wq_control_plans/2006wqcp/docs/2006_plan_final.pdf. The beneficial uses of these waters include, among others, domestic and municipal supply, water contact recreation, non-contact water recreation, wildlife habitat, warm and cold freshwater habitat, and fish spawning. The non-contact water recreation use is defined as "[u]ses of water for recreational activities involving proximity to water, but where there is generally no body contact with water, nor any likelihood of ingestion of water. These uses include, but are not limited to, picnicking, sunbathing, hiking, camping, boating, . . . hunting, sightseeing, or aesthetic enjoyment in conjunction with the above activities." Basin Plan at II-1.00 – II-2.00. Visible pollution, including cloudy or muddy water from industrial areas, impairs people's use of the San Joaquin River and the Delta for contact and non-contact water recreation.

The Basin Plan establishes water quality standards for the San Joaquin River and the Sacramento-San Joaquin Delta. It includes a narrative toxicity standard which states that "[a]ll waters shall be maintained free of toxic substances in concentrations that produce detrimental physiological responses in human, plant, animal, or aquatic life." *Id.* at III-8.01. It provides that "[w]ater shall not contain floating material in amounts that cause nuisance or adversely affect beneficial uses." *Id.* at III-5.00. It provides that "[w]ater shall be free of discoloration that causes nuisance or adversely affects beneficial uses." *Id.* It provides that "[w]aters shall not contain suspended materials in concentrations that cause nuisance or adversely affect beneficial uses." *Id.* at III-7.00. The Basin Plan also prohibits the discharges of oil and grease, stating that "[w]aters shall not contain oils, greases, waxes, or other materials in concentrations that cause nuisance, result in a visible film or coating on the surface of the water or on objects in the water, or otherwise adversely affect beneficial uses." *Id.* at III-6.00. The Basin Plan provides that the pH shall not be depressed below 6.5 nor raised above 8.5. *Id.* The Basin Plan requires that "[w]aters shall be free of changes in turbidity that cause nuisance or adversely affect beneficial uses." *Id.* at III-9.00.

Table III-1 of the Basin Plan provides a water quality objective ("WQO") for iron of 0.3 mg/L.

The DWSC and the San Joaquin River are impaired for dissolved oxygen.

The EPA has published benchmark levels as guidelines for determining whether a facility discharging industrial storm water has implemented the requisite best available technology

economically achievable (“BAT”) and best conventional pollutant control technology (“BCT”).¹ The following benchmarks have been established for pollutants discharged by Masonite: pH – 6.0 - 9.0 standard units (“s.u.”); total suspended solids (“TSS”) – 100 mg/L; oil and grease (“O&G”) – 15 mg/L; iron – 1.0 mg/L; and chemical oxygen demand – 120 mg/L.

These benchmarks are reflected in the 2015 Permit in the form of Numeric Action Levels (“NALs”). The 2015 Permit incorporates annual NALs, which reflect the 2008 EPA Multi-Sector General Permit benchmark values, and instantaneous maximum NALs, which are derived from a Water Board dataset. The following annual NALs have been established under the 2015 Permit: TSS – 100 mg/L; O&G – 15 mg/L; iron – 1.0 mg/L; and COD – 120 mg/L. The 2015 Permit also establishes the following instantaneous maximum NALs: pH – 6.0-9.0 s.u.; TSS – 400 mg/L; and oil & grease (“O&G”) – 25 mg/L.

II. Alleged Violations of the NPDES Permit.

A. Discharges in Violation of the Permit

Masonite has violated and continues to violate the terms and conditions of the General Permit. Section 402(p) of the Act prohibits the discharge of storm water associated with industrial activities, except as permitted under an NPDES permit (33 U.S.C. § 1342) such as the General Permit. The General Permit prohibits any discharges of storm water associated with industrial activities or authorized non-storm water discharges that have not been subjected to BAT or BCT. Effluent Limitation B(3) of the 1997 Permit requires dischargers to reduce or prevent pollutants in their storm water discharges through implementation of BAT for toxic and nonconventional pollutants and BCT for conventional pollutants. The 2015 Permit includes the same effluent limitation. *See* 2015 Permit, Effluent Limitation V(A). BAT and BCT include both nonstructural and structural measures. 1997 Permit, Section A(8); 2015 Permit, Section X(H). Conventional pollutants are TSS, O&G, pH, biochemical oxygen demand, and fecal coliform. 40 C.F.R. § 401.16. All other pollutants are either toxic or nonconventional. *Id.*; 40 C.F.R. § 401.15.

In addition, Discharge Prohibition A(1) of the 1997 Permit and Discharge Prohibition III(B) of the 2015 Permit prohibit the discharge of materials other than storm water (defined as non-storm water discharges) that discharge either directly or indirectly to waters of the United States. Discharge Prohibition A(2) of the 1997 Permit and Discharge Prohibition III(C) of the 2015 Permit prohibit storm water discharges and authorized non-storm water discharges that cause or threaten to cause pollution, contamination, or nuisance.

Receiving Water Limitation C(1) of the 1997 Permit and Receiving Water Limitation VI(B) of the 2015 Permit prohibit storm water discharges and authorized non-storm water discharges that adversely impact human health or the environment. Receiving Water Limitation

¹ The Benchmark Values can be found at:
http://www.epa.gov/npdes/pubs/msgp2008_finalpermit.pdf.

C(2) of the 1997 Permit and Receiving Water Limitation VI(A) and Discharge Prohibition III(D) of the 2015 Permit also prohibit storm water discharges and authorized non-storm water discharges that cause or contribute to an exceedance of any applicable water quality standards. The General Permit does not authorize the application of any mixing zones for complying with Receiving Water Limitation C(2) of the 1997 Permit and Receiving Water Limitation VI(A) of the 2015 Permit. As a result, compliance with this provision is measured at the Facility's discharge monitoring locations.

Masonite has discharged and continues to discharge storm water with unacceptable levels of pH, TSS, iron, O&G, and COD in violation of the General Permit. Masonite's sampling and analysis results reported to the Regional Board confirm discharges of specific pollutants and materials other than storm water in violation of the Permit provisions listed above. Self-monitoring reports under the Permit are deemed "conclusive evidence of an exceedance of a permit limitation." *Sierra Club v. Union Oil*, 813 F.2d 1480, 1493 (9th Cir. 1988).

The following discharges of pollutants from the Facility have contained observations and measurements of pollutants in excess of applicable numerical and narrative water quality standards established in the Basin Plan. They have thus violated Discharge Prohibitions A(2) and Receiving Water Limitations C(1) and C(2) of the 1997 Permit; Discharge Prohibitions III(C) and III(D) and Receiving Water Limitations VI(A), VI(B), and VI(C) of the 2015 Permit; and are evidence of ongoing violations of Effluent Limitation B(3) of the 1997 Permit, and Effluent Limitation V(A) of the 2015 Permit.

Date	Parameter	Observed Concentration/ Conditions	Basin Plan Water Quality Objective / CTR	Outfall (as identified by the Facility)
3/11/2016	pH	8.52	6.5 – 8.5	Outfall 1 (Scotts Ave)
11/24/2015	pH	8.86	6.5 – 8.5	Outfall 3B (340 Scotts)
11/2/2015	pH	8.61	6.5 – 8.5	Outfall 1 (Scotts Ave)
11/2/2015	pH	9.27	6.5 – 8.5	Outfall 3B (340 Scotts)
2/29/2012	pH	6.2	6.5 – 8.5	SS-1
3/11/2016	Iron	22 mg/L	0.3 mg/L (WQO)	Outfall 3B (Scotts/Monroe)
11/24/2015	Iron	180 mg/L	0.3 mg/L (WQO)	Outfall 3B (340 Scotts)
11/2/2015	Iron	18 mg/L	0.3 mg/L (WQO)	Outfall 3B (340 Scotts)
4/7/2015	Narrative	Heavy sediment and bio sheen, particulate matter	Basin Plan at III-7.00 / Basin Plan at III-6.00	Outfall 1
3/11/2015	Narrative	Sediment and bio sheen	Basin Plan at III-7.00 / Basin Plan at III-6.00	Outfall 1
12/11/2014	Narrative	Brown, turbid	Basin Plan at III-5.00 / Basin Plan at III-9.00	Outfall 1
11/20/2014	Narrative	Turbid, light brown	Basin Plan at III-5.00 / Basin Plan at III-9.00	Outfall 1

10/31/2014	Narrative	Floating wood particulates, sheen, dark/black sediment	Basin Plan at III-5.00 / Basin Plan at III-7.00 / Basin Plan at III-6.00	Outfall 1
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The information in the above table reflects data gathered from Masonite's self-monitoring during the 2011-2012 and 2014-2015 wet seasons, as well as the 2015-2016 reporting year. CSPA alleges that since at least February 29, 2012, and continuing through today, Masonite has discharged storm water contaminated with pollutants at levels that exceed one or more applicable water quality standards, including but not limited to each of the following:

- pH – 6.5 – 8.5
- Iron – 0.3 mg/L (WQO)
- Discoloration – water shall be free of discoloration that causes nuisance or adversely affects beneficial uses. Basin Plan at III-5.00.
- Turbidity – waters shall be free of changes in turbidity that cause nuisance or adversely affect beneficial uses. Basin Plan at III-9.00.
- Floating materials – water shall not contain floating material in amounts that cause nuisance or adversely affect beneficial uses. Basin Plan at III-5.00.
- Sheen – waters shall not contain oils, greases, waxes, or other materials in concentrations that cause nuisance, result in a visible film or coating on the surface of the water or on objects in the water, or otherwise adversely affect beneficial uses. Basin Plan at III-6.00.
- Suspended materials – waters shall not contain suspended materials in concentrations that cause nuisance or adversely affect beneficial uses. Basin Plan at III-7.00.

The following discharges of pollutants from the Facility have violated Discharge Prohibitions A(1) and A(2) and Receiving Water Limitations C(1) and C(2) of the 1997 Permit; Discharge Prohibitions III(B) and III(C) and Receiving Water Limitations VI(A) and VI(B) of the 2015 Permit; and are evidence of ongoing violations of Effluent Limitation B(3) of the 1997 Permit and Effluent Limitation V(A) of the 2015 Permit.

Date	Parameter	Observed Concentration	EPA Benchmark Value /Annual NAL	Outfall (as identified by the Facility)
11/2/2015	pH	9.27	6.0 – 9.0	Outfall 3B (340 Scotts)
11/2/2015	Oil & Grease	29 mg/L	15 mg/L	Outfall 1 (Scotts Ave)
3/11/2016	Total Suspended Solids	113 mg/L	100 mg/L	Outfall 1 (Scotts Ave)
3/11/2016	Total Suspended Solids	572 mg/L	100 mg/L	Outfall 2 (Van Buren)
3/11/2016	Total Suspended Solids	570 mg/L	100 mg/L	Outfall 3B (Scotts/Monroe)

11/24/2015	Total Suspended Solids	107 mg/L	100 mg/L	Outfall 1 (Scotts Ave)
11/24/2015	Total Suspended Solids	462 mg/L	100 mg/L	Outfall 2 (733 S. Van Bu)
11/24/2015	Total Suspended Solids	433 mg/L	100 mg/L	Outfall 3A (340 Scotts)
11/24/2015	Total Suspended Solids	7,430 mg/L	100 mg/L	Outfall 3B (340 Scotts)
11/2/2015	Total Suspended Solids	319 mg/L	100 mg/L	Outfall 1 (Scotts Ave)
11/2/2015	Total Suspended Solids	447 mg/L	100 mg/L	Outfall 3B (340 Scotts)
11/20/2014	Total Suspended Solids	123 mg/L	100 mg/L	Outfall 1 (Scotts Ave)
10/31/2014	Total Suspended Solids	106 mg/L	100 mg/L	Outfall 1 (Scotts Ave)
10/22/2012	Total Suspended Solids	130 mg/L	100 mg/L	SS-1
3/11/2016	Iron	22 mg/L	1 mg/L	Outfall 3B (Scotts/Monroe)
11/24/2015	Iron	180 mg/L	1 mg/L	Outfall 3B (340 Scotts)
11/2/2015	Iron	18 mg/L	1 mg/L	Outfall 3B (340 Scotts)
4/22/2016	Chemical Oxygen Demand	140 mg/L	120 mg/L	Outfall 1 (Scotts Ave)
4/22/2016	Chemical Oxygen Demand	170 mg/L	120 mg/L	Outfall 2 (Van Buren)
4/22/2016	Chemical Oxygen Demand	160 mg/L	120 mg/L	Outfall 3A (Scotts/Monroe)
3/11/2016	Chemical Oxygen Demand	130 mg/L	120 mg/L	Outfall 1 (Scotts Ave)
3/11/2016	Chemical Oxygen Demand	260 mg/L	120 mg/L	Outfall 2 (Van Buren)
3/11/2016	Chemical Oxygen Demand	140 mg/L	120 mg/L	Outfall 3A (Scotts/Monroe)
3/11/2016	Chemical Oxygen Demand	130 mg/L	120 mg/L	Outfall 3B (Scotts/Monroe)
11/24/2015	Chemical Oxygen Demand	260 mg/L	120 mg/L	Outfall 1 (Scotts Ave)
11/24/2015	Chemical Oxygen Demand	180 mg/L	120 mg/L	Outfall 2 (733 S. Van Bu)
11/24/2015	Chemical Oxygen Demand	140 mg/L	120 mg/L	Outfall 3A (340 Scotts)
11/24/2015	Chemical Oxygen Demand	1,600 mg/L	120 mg/L	Outfall 3B (340 Scotts)
11/2/2015	Chemical Oxygen Demand	160 mg/L	120 mg/L	Outfall 1 (Scotts Ave)
11/2/2015	Chemical Oxygen Demand	160 mg/L	120 mg/L	Outfall 2 (733 S. Van Bu)

The information in the above table reflects data gathered from Masonite's self-monitoring during the 2012-2013 and 2014-2015 wet seasons and the 2015-2016 reporting year. Further, CSPA notes that the Facility has already exceeded the instantaneous maximum NAL for TSS during the 2015-2016 reporting year. CSPA alleges that since at least October 22, 2012, Masonite has discharged storm water contaminated with pollutants at levels that exceed the applicable EPA Benchmarks and NALs for pH, TSS, O&G, iron, and COD.

CSPA's investigation, including its review of Masonite's Storm Water Pollution Prevention Plan ("SWPPP"), Masonite's analytical results documenting pollutant levels in the Facility's storm water discharges well in excess of applicable water quality standards, and EPA benchmark values and NALs, indicates that Masonite has not implemented BAT and BCT at the Facility for its discharges of pH, TSS, O&G, iron, COD, and potentially other pollutants in violation of Effluent Limitation B(3) of the 1997 Permit and Effluent Limitation V(A) of the 2015 Permit. Masonite was required to have implemented BAT and BCT by no later than October 1, 1992, or since the date the Facility opened. Thus, Masonite is discharging polluted storm water associated with its industrial operations without having implemented BAT and BCT.

In addition, the numbers listed above indicate that the Facility is discharging polluted storm water in violation of Discharge Prohibitions A(1) and A(2) and Receiving Water Limitations C(1) and C(2) of the 1997 Permit; Discharge Prohibitions III(C) and III(D) and Receiving Water Limitations VI(A), VI(B), and VI(C) of the 2015 Permit. CSPA alleges that such violations also have occurred and will occur on other rain dates, including on information and belief every significant rain event that has occurred since May 23, 2011, and that will occur at the Facility subsequent to the date of this Notice of Violation and Intent to File Suit. Attachment A, attached hereto, sets forth each of the specific rain dates on which CSPA alleges that Masonite has discharged storm water containing impermissible and unauthorized levels of pH, TSS, O&G, iron, and COD in violation of Section 301(a) of the Act as well as Effluent Limitation B(3), Discharge Prohibitions A(1) and A(2), and Receiving Water Limitations C(1) and C(2) of the 1997 Permit; and Effluent Limitation V(A), Discharge Prohibitions III(B) and III(C) and Receiving Water Limitations VI(A) and VI(B) of the 2015 Permit.²

These unlawful discharges from the Facility are ongoing. Each discharge of storm water containing any of these pollutants constitutes a separate violation of the General Permit and the Act. Each discharge of storm water constitutes an unauthorized discharge of pH, TSS, O&G, iron, COD, and storm water associated with industrial activity in violation of Section 301(a) of the CWA. Each day that the Facility operates without implementing BAT/BCT is a violation of the General Permit. Consistent with the five-year statute of limitations applicable to citizen enforcement actions brought pursuant to the federal Clean Water Act, Masonite is subject to penalties for violations of the General Permit and the Act since May 23, 2011.

² The rain dates on the attached table are all the days when 0.1" or more rain was observed at a weather station in Stockton, approximately 4.2 miles from the Facility. The data was accessed via <http://169.237.140.1/calludt.cgi/WXDESCRIPTION?MAP=&STN=STOCKTON.C> (Last accessed on May 23, 2016).

B. Failure to Develop, Implement, and/or Revise an Adequate Monitoring and Reporting Program for the Facility.

The 1997 Permit requires facility operators to develop and implement an adequate Monitoring and Reporting Program before industrial activities begin at a facility. See 1997 Permit, § B(1). The 2015 Permit includes similar monitoring and reporting requirements. See 2015 Permit, § XI. The primary objective of the Monitoring and Reporting Program is to both observe and to detect and measure the concentrations of pollutants in a facility's discharge to ensure compliance with the General Permit's discharge prohibitions, effluent limitations, and receiving water limitations. An adequate Monitoring and Reporting Program therefore ensures that best management practices ("BMPs") are effectively reducing and/or eliminating pollutants at a facility, and is evaluated and revised whenever appropriate to ensure compliance with the General Permit.

Sections B(3)-(16) of the 1997 Permit set forth the monitoring and reporting requirements. As part of the Monitoring Program, all facility operators must conduct visual observations of storm water discharges and authorized non-storm water discharges, and collect and analyze samples of storm water discharges. As part of the Reporting Program, all facility operators must timely submit an Annual Report for each reporting year. The monitoring and reporting requirements of the 2015 Permit are substantially similar to those in the 1997 Permit, and in several instances more stringent.

i. Failure to Conduct Sampling and Analysis

The 1997 Permit requires dischargers to collect storm water samples during the first hour of discharge from the first storm event of the wet season, and at least one other storm event during the wet season, from all storm water discharge locations at a facility. See 1997 Permit, § B(5). The 2015 Permit now mandates that facility operators sample *four* (rather than two) storm water discharges from all discharge locations over the course of the reporting year. See 2015 Permit, §§ XI(B)(2), (3). Storm water discharges trigger the sampling requirement under the 1997 Permit when they occur during facility operating hours and are preceded by at least three working days without storm water discharge. See 1997 Permit, § B(5)(b). A sample must be collected from each discharge point at the facility, and in the event that an operator fails to collect samples from the first storm event, the operators must still collect samples from two other storm events and "shall explain in the Annual Report why the first storm event was not sampled." See 1997 Permit, § B(5)(a). The Facility has repeatedly violated these monitoring requirements.

In its current SWPPP, Masonite indicates that the Facility has four storm water discharge outfalls. However, during the 2011-2012, 2012-2013, 2013-2014, and 2014-2015 wet seasons, the Facility only collected and analyzed storm water discharges from one outfall at the Facility, and failed to collect and analyze discharges from all of its other outfalls. This results in at least six violations of the General Permit for each year.

On information and belief, CSPA alleges that during the 2013-2014 wet season, Masonite failed to collect and analyze storm water samples from a second storm event. Despite its claims that there was only one event that produced storm water discharges during that season, CSPA alleges that precipitation data compared to dates when the Facility did collect storm water samples shows that discharges occurred on several dates during each of those wet seasons. Specifically, CSPA alleges that discharges occurred on the following dates:

- November 19, 2013
- December 6, 2013
- February 26, 2014
- April 25, 2014

This results in at least one violation of the General Permit. These violations of the General Permit are ongoing. Consistent with the five-year statute of limitations applicable to citizen enforcement actions brought pursuant to the federal Clean Water Act, Masonite is subject to penalties for violations of the General Permit and the Act's monitoring and sampling requirements since at least May 23, 2011.

ii. Failure to Conduct Visual Observations of Storm Water Discharges

Section B of the 1997 Permit describes the visual monitoring requirements for storm water discharges. Facilities are required to make monthly visual observations of storm water discharges from all drainage areas (Section B(4)). Section B(7) requires that the visual observations must represent the "quality and quantity of the facility's storm water discharges from the storm event." The requirement to make monthly visual observations of storm water discharges from each drainage area is continued in Section XI(A) of the 2015 Permit.

On information and belief, CSPA alleges that Masonite failed to conduct monthly visual observations of storm water discharges during numerous months during the past five years. On information and belief, based on precipitation data compared to the dates in which the Facility did conduct monthly visual observation of storm water discharges, CSPA alleges that Masonite failed to conduct monthly visual observations of storm water discharges at Outfall 1 during the following months:

- 2013 – November, December
- 2014 – February, April

In addition, during the past five years, Masonite has not performed any required monthly visual observations at any of its outfalls besides Outfall 1. This results in at least 24 violations of the General Permit for each year. These violations of the General Permit are ongoing. Consistent with the five-year statute of limitations applicable to citizen enforcement actions

brought pursuant to the federal Clean Water Act, Masonite is subject to penalties for violations of the General Permit and the Act's monitoring and sampling requirements since May 23, 2011.

iii. Failure to Analyze for Pollutants That May Be Present in Significant Quantities

Under the 1997 Permit, facilities must analyze storm water samples for "toxic chemicals and other pollutants that are likely to be present in storm water discharges in significant quantities." 1997 Permit, Section B(5)(c)(ii). Under the 2015 Permit, facilities must analyze storm water samples for "[a]dditional parameters identified by the Discharger on a facility-specific basis that serve as indicators of the presence of all industrial pollutants identified in the pollutant source assessment." 2015 Permit, Section XI(B)(6)(c).

Thus far during the 2015-2106, reporting year, Masonite analyzed three of its storm water discharges for iron and found that the concentrations of iron were significantly in excess of the average NAL for iron.

Thus, iron is a pollutant likely to be present in Masonite's storm water discharges in significant quantities. On information and belief, CSPA alleges that Masonite has never otherwise analyzed its storm water discharges for iron. This failure to analyze iron in each sampling event results in at least 16 violations of the General Permit. These violations are ongoing. Consistent with the five-year statute of limitations applicable to citizen enforcement actions brought pursuant to the federal Clean Water Act, Masonite is subject to penalties for violations of the General Permit and the Act's monitoring and sampling requirements since May 23, 2011.

C. Failure to Complete Annual Comprehensive Site Compliance Evaluation

The 1997 Permit, in relevant part, requires that the Annual Report include an Annual Comprehensive Site Compliance Evaluation Report ("ACSCE Report"). (Section B(14)). As part of the ACSCE Report, the facility operator must review and evaluate all of the BMPs to determine whether they are adequate or whether SWPPP revisions are needed. The Annual Report must be signed and certified by a duly authorized representative, under penalty of law that the information submitted is true, accurate, and complete to the best of his or her knowledge. The 2015 Permit now requires operators to conduct an Annual Comprehensive Facility Compliance Evaluation ("Annual Evaluation") that evaluates the effectiveness of current BMPs and the need for additional BMPs based on visual observations and sampling and analysis results. See 2015 Permit, § XV.

Information available to CSPA indicates that Masonite has consistently failed to comply with Section B(14) of the 1997 Permit, and Section XV of the 2015 Permit. None of the Facility's ACSCE Reports provide an explanation of the Facility's failure to take steps to reduce or prevent high levels of pollutants observed in the Facility's storm water discharges. See 1997 Permit Receiving Water Limitation C(3) and C(4) (requiring facility operators to submit a report

to the Regional Board describing current and additional BMPs necessary to prevent or reduce pollutants causing or contributing to an exceedance of water quality standards); see also 2015 Permit § X(B)(1)(b). The failure to assess the Facility's BMPs and respond to inadequacies in the ACSCE Reports negates a key component of the evaluation process required in self-monitoring programs such as the General Permit. Instead, Masonite has not proposed any BMPs that properly respond to EPA benchmark and water quality standard exceedances, in violation of the General Permit.

CSPA puts Masonite on notice that its failures to submit accurate and complete ACSCE Reports are violations of the General Permit and the CWA. Masonite is in ongoing violation of Section XV of the 2015 Permit every day the Facility operates without evaluating the effectiveness of BMPs and the need for additional BMPs. These violations are ongoing. Each of these violations is a separate and distinct violation of the General Permit and the CWA. Masonite is subject to civil penalties for all violations of the CWA occurring since May 23, 2011.

D. Failure to Prepare, Implement, Review and Update an Adequate Storm Water Pollution Prevention Plan.

Under the General Permit, the State Board has designated the SWPPP as the cornerstone of compliance with NPDES requirements for storm water discharges from industrial facilities, and ensuring that operators meet effluent and receiving water limitations. Section A(1) and Provision E(2) of the 1997 Permit require dischargers to develop and implement a SWPPP prior to beginning industrial activities that meet all of the requirements of the 1997 Permit. The objective of the SWPPP requirement is to identify and evaluate sources of pollutants associated with industrial activities that may affect the quality of storm water discharges and authorized non-stormwater discharges from the facility, and to implement BMPs to reduce or prevent pollutants associated with industrial activities in storm water discharges and authorized non-stormwater discharges. See 1997 Permit § A(2); 2015 Permit § X(C). These BMPs must achieve compliance with the General Permit's effluent limitations and receiving water limitations. To ensure compliance with the General Permit, the SWPPP must be evaluated and revised as necessary. 1997 Permit §§ A(9), (10); 2015 Permit § X(B). Failure to develop or implement an adequate SWPPP, or update or revise an existing SWPPP as required, is a violation of the General Permit. 2015 Permit Factsheet § I(1).

Sections A(3)-A(10) of the 1997 Permit set forth the requirements for a SWPPP. Among other requirements, the SWPPP must include: a pollution prevention team; a site map; a list of significant materials handled and stored at the site; a description of potential pollutant sources; an assessment of potential pollutant sources; and a description of the BMPs to be implemented at the facility that will reduce or prevent pollutants in storm water discharges and authorized non-stormwater discharges, including structural BMPs where non-structural BMPs are not effective. Sections X(D) – X(I) of the 2015 Permit set forth essentially the same SWPPP requirements as the 1997 Permit, except that all dischargers are now required to develop and implement a set of minimum BMPs, as well as any advanced BMPs as necessary to achieve BAT/BCT, which serve

as the basis for compliance with the 2015 Permit's technology-based effluent limitations and receiving water limitations. See 2015 Permit § X(H). The 2015 Permit further requires a more comprehensive assessment of potential pollutant sources than the 1997 Permit; more specific BMP descriptions; and an additional BMP summary table identifying each identified area of industrial activity, the associated industrial pollutant sources, the industrial pollutants, and the BMPs being implemented. See 2015 Permit §§ X(G)(2), (4), (5).

The 2015 Permit requires dischargers to implement and maintain, to the extent feasible, all of the following minimum BMPs in order to reduce or prevent pollutants in industrial storm water discharges: good housekeeping, preventive maintenance, spill and leak prevention and response, material handling and waste management, erosion and sediment controls, an employee training program, and quality assurance and record keeping. See 2015 Permit, § X(H)(1). Failure to implement all of these minimum BMPs is a violation of the 2015 Permit. See 2015 Permit Fact Sheet § I(2)(o). The 2015 Permit further requires dischargers to implement and maintain, to the extent feasible, any one or more of the following advanced BMPs necessary to reduce or prevent discharges of pollutants in industrial storm water discharges: exposure minimization BMPs, storm water containment and discharge reduction BMPs, treatment control BMPs, and other advanced BMPs. See 2015 Permit, § X(H)(2). Failure to implement advanced BMPs as necessary to achieve compliance with either technology or water quality standards is a violation of the 2015 Permit. *Id.* The 2015 Permit also requires that the SWPPP include BMP Descriptions and a BMP Summary Table. See 2015 Permit § X(H)(4), (5).

Despite these clear BMP requirements, Masonite has been conducting and continues to conduct industrial operations at the Facility with an inadequately developed, implemented, and/or revised SWPPP.

The SWPPP fails to comply with the requirements of Section X(H) of the 2015 Permit. The SWPPP fails to implement required advanced BMPs. The SWPPP fails to implement and maintain minimum BMPs to minimize or prevent material tracking from the Facility.

Most importantly, the Facility's storm water samples and discharge observations have consistently exceeded EPA benchmarks and NALs, demonstrating the failure of its BMPs to reduce or prevent pollutants associated with industrial activities in the Facility's discharges. Despite these exceedances, Masonite has failed to sufficiently update and revise the Facility's SWPPP. The Facility's SWPPP has therefore never achieved the General Permit's objective to identify and implement proper BMPs to reduce or prevent pollutants associated with industrial activities in storm water discharges.

CSPA puts Masonite on notice that it violates the General Permit and the CWA every day that the Facility operates with an inadequately developed, implemented, and/or revised SWPPP. These violations are ongoing, and CSPA will include additional violations as information and data become available. Masonite is subject to civil penalties for all violations of the CWA occurring since May 23, 2011.

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May 23, 2016
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III. Persons Responsible for the Violations.

CSPA puts Masonite Corporation, Pablo Diaz, Mark Valadez, and Frederick Lynch on notice that they are the persons responsible for the violations described above. If additional persons are subsequently identified as also being responsible for the violations set forth above, CSPA puts Masonite Corporation, Pablo Diaz, Mark Valadez, and Frederick Lynch on notice that it intends to include those persons in this action.

IV. Name and Address of Noticing Parties.

The name, address and telephone number of the California Sportfishing Protection Alliance is as follows:

Bill Jennings, Executive Director
California Sportfishing Protection Alliance
3536 Rainier Avenue
Stockton, CA 95204
Tel. (209) 464-5067
deltakeep@me.com

V. Counsel.

CSPA has retained legal counsel to represent it in this matter. Please direct all communications to:

Douglas J. Chermak
Michael R. Lozeau
Lozeau Drury LLP
410 12th Street, Suite 250
Oakland, California 94607
Tel. (510) 836-4200
doug@lozeaudrury.com
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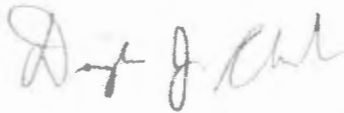
VI. Penalties.

Pursuant to Section 309(d) of the Act (33 U.S.C. § 1319(d)) and the Adjustment of Civil Monetary Penalties for Inflation (40 C.F.R. § 19.4) each separate violation of the Act subjects Masonite to a penalty of up to \$37,500 per day per violation for all violations. In addition to civil penalties, CSPA will seek injunctive relief preventing further violations of the Act pursuant to Sections 505(a) and (d) (33 U.S.C. § 1365(a) and (d)) and such other relief as permitted by law. Lastly, Section 505(d) of the Act (33 U.S.C. § 1365(d)), permits prevailing parties to recover costs and fees, including attorneys' fees.

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CSPA believes this Notice of Violations and Intent to File Suit sufficiently states grounds for filing suit. CSPA intends to file a citizen suit under Section 505(a) of the Act against Masonite and its agents for the above-referenced violations upon the expiration of the 60-day notice period. However, during the 60-day notice period, CSPA would be willing to discuss effective remedies for the violations noted in this letter. If you wish to pursue such discussions in the absence of litigation, CSPA suggests that you initiate those discussions within the next 20 days so that they may be completed before the end of the 60-day notice period. CSPA does not intend to delay the filing of a complaint in federal court if discussions are continuing when that period ends.

Sincerely,

A handwritten signature in dark ink, appearing to read "Douglas J. Chermak", is written over a light gray rectangular background.

Douglas J. Chermak
Lozeau Drury LLP
Attorneys for California Sportfishing Protection Alliance

SERVICE LIST – via certified mail

Gina McCarthy, Administrator
U.S. Environmental Protection Agency
1200 Pennsylvania Avenue, N.W.
Washington, D.C. 20460

Thomas Howard, Executive Director
State Water Resources Control Board
P.O. Box 100
Sacramento, CA 95812-0100

Loretta Lynch, U.S. Attorney General
U.S. Department of Justice
950 Pennsylvania Avenue, N.W.
Washington, DC 20530-0001

Alexis Strauss, Acting Regional Administrator
U.S. EPA – Region 9
75 Hawthorne Street
San Francisco, CA, 94105

Pamela C. Creedon, Executive Officer
Regional Water Quality Control Board
Central Valley Region
11020 Sun Center Drive #200
Rancho Cordova, CA 95670-6114

ATTACHMENT A
Rain Dates, Masonite Corporation, Stockton, CA

5/28/2011	1/5/2013	12/19/2014
10/5/2011	1/6/2013	2/6/2015
11/19/2011	1/24/2013	2/7/2015
11/20/2011	2/19/2013	2/8/2015
1/20/2012	3/19/2013	3/2/2015
1/21/2012	3/30/2013	3/11/2015
1/22/2012	3/31/2013	3/23/2015
1/23/2012	4/4/2013	3/24/2015
2/7/2012	4/7/2013	3/25/2015
2/13/2012	9/2/2013	4/4/2015
2/29/2012	9/21/2013	4/11/2015
3/13/2012	11/19/2013	4/19/2015
3/14/2012	11/20/2013	4/20/2015
3/16/2012	12/6/2013	4/28/2015
3/17/2012	2/2/2014	10/25/2015
3/25/2012	2/6/2014	10/26/2015
3/27/2012	2/7/2014	11/8/2015
3/28/2012	2/8/2014	11/10/2015
3/31/2012	2/9/2014	11/11/2015
4/11/2012	2/26/2014	11/13/2015
4/12/2012	2/28/2014	11/17/2015
4/13/2012	3/3/2014	11/20/2015
4/25/2012	3/5/2014	11/23/2015
4/26/2012	3/26/2014	11/24/2015
10/22/2012	3/29/2014	11/26/2015
11/1/2012	3/31/2014	11/30/2015
11/9/2012	4/1/2014	12/8/2015
11/16/2012	4/25/2014	12/12/2015
11/17/2012	9/25/2014	12/17/2015
11/18/2012	10/31/2014	12/19/2015
11/21/2012	11/13/2014	12/21/2015
11/28/2012	11/19/2014	12/22/2015
11/30/2012	11/20/2014	12/23/2015
12/1/2012	11/22/2014	12/28/2015
12/2/2012	11/26/2014	12/29/2015
12/5/2012	11/29/2014	12/30/2015
12/15/2012	11/30/2014	12/31/2015
12/17/2012	12/2/2014	1/2/2016
12/21/2012	12/11/2014	1/3/2016
12/22/2012	12/12/2014	1/4/2016
12/23/2012	12/15/2014	1/5/2016
12/25/2012	12/16/2014	1/16/2016

Notice of Violations and Intent to File Suit

ATTACHMENT A
Rain Dates, Masonite Corporation, Stockton, California

1/18/2016	2/12/2016	3/8/2016
1/19/2016	2/13/2016	3/10/2016
1/21/2016	2/15/2016	3/11/2016
1/22/2016	2/16/2016	3/12/2016
1/23/2016	2/17/2016	3/13/2016
1/24/2016	2/18/2016	3/17/2016
1/25/2016	2/19/2016	3/23/2016
1/27/2016	2/20/2016	3/24/2016
1/28/2016	2/21/2016	3/25/2016
1/30/2016	2/23/2016	4/4/2016
2/3/2016	2/28/2016	4/11/2016
2/4/2016	2/29/2016	4/19/2016
2/7/2016	3/2/2016	4/20/2016
2/8/2016	3/3/2016	4/28/2016
2/9/2016	3/5/2016	
2/11/2016	3/6/2016	